

Form PTO-1449 (modified)

Atty. Docket No.  
UTSD:798US/MTGSerial No.  
09/905,670

List of Patents and Publications for Applicant's

Applicant  
Phillip D. Purdy

## INFORMATION DISCLOSURE STATEMENT

Filing Date:  
July 13, 2001Group:  
3763

(Use several sheets if necessary)

U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Arts  
See Page 2

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date App.
mlh.	A1	5,085,631	2/4/92	Leighton	604	28	2/26/90
	A2	5,098,393	3/24/92	Amplatz <i>et al.</i>	604	167.03	5/31/88
	A3	5,297,564	3/29/94	Love	128	898	8/27/92
	A4	5,385,152	1/31/95	Abele <i>et al.</i>	600	585	5/14/93
	A5	5,397,305	3/14/95	Kawula <i>et al.</i>	604	96.01	11/30/92
	A6	5,423,849	6/13/95	Engelson <i>et al.</i>	606	191	1/15/93
	A7	5,449,343	9/12/95	Samson <i>et al.</i>	604	95.01	8/11/88
	A8	5,470,318	11/28/95	Griffith, III <i>et al.</i>	604	161	10/20/94
	A9	5,478,331	12/26/95	Heflin <i>et al.</i>	604	283	5/11/94
	A10	5,520,647	5/28/96	Solar	604	102.02	7/2/93
	A11	5,542,936	8/6/96	Razi	604	264	3/20/95
	A12	5,630,802	5/20/97	Moellmann <i>et al.</i>	604	164.01	4/20/95
	A13	5,704,915	1/6/98	Melsky <i>et al.</i>	604	175	2/14/95
	A14	5,810,869	9/22/98	Kaplan <i>et al.</i>	606	194	11/18/96
	A15	5,931,810	8/3/99	Grabek	604	506	12/5/96
	A16	5,935,122	8/10/99	Fourkas <i>et al.</i>	604	523	8/1/97
	A17	5,951,520	9/14/99	Burzynski <i>et al.</i>	604	170.01	12/19/96
	A18	5,980,484	11/9/99	Ressemann <i>et al.</i>	604	164.13	2/17/98
	A19	6,004,295	12/21/99	Langer <i>et al.</i>	604	164.01	6/26/98
	A20	6,036,654	3/14/00	Quinn <i>et al.</i>	600	526	9/23/94
	A21	6,090,072	7/18/00	Kratoska <i>et al.</i>	604	164.01	3/14/96
	A22	6,120,499	9/19/00	Dickens <i>et al.</i>	606	41	1/3/96
✓	A23	6,129,713	10/10/00	Mangosong <i>et al.</i>	604	264	8/11/98

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EXAMINER: *mlh.*DATE CONSIDERED: *8/19/2005*

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date App.
mlh.	A24	6,146,354	11/14/00	Beil	604	28	5/24/96
	A25	6,183,443B1	2/6/01	Kratoska <i>et al.</i>	604	164.03	10/26/98
	A26	6,190,349B1	2/20/01	Ash <i>et al.</i>	604	43	8/2/99
	A27	6,200,336B1	3/13/01	Pavcnik <i>et al.</i>	623	1.15	6/2/99
	A28	6,214,029B1	4/10/01	Thill <i>et al.</i>	606	213	4/26/00

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
mlh.	C1	Amar <i>et al.</i> , "Microcatheterization of the cervical epidural space via lumbar puncture: Technical note," <i>Neurosurgery</i> , 48(5):1183-1187, 2001. Article from the Neurosurgery website at: <a href="http://www.neurosurgery-online.com">http://www.neurosurgery-online.com</a> , October 23, 2001.
	C2	Blomberg, "A method for epiduroscopy and spinaloscopy. Presentation of preliminary results," <i>Acta Anaesthesiol. Scand.</i> , 29(1):113-116, 1985.
	C3	Blomberg, "Fibrous structures in the subarachnoid space: a study with spinaloscopy in autopsy subjects," <i>Anesth. Analg.</i> , 80(5):875-879, 1995.
	C4	Delhaas, "Extradural and subarachnoid catheterization using the Seldinger technique," <i>Br. J. Anaesth.</i> , 76(1):149-150, 1996.
	C5	Eguchi <i>et al.</i> , "Endoscopy of spinal cord and posterior fossa by a lumbar percutaneous approach: endoscopic anatomy in cadavers," <i>Minim. Invasive Neurosurg.</i> 42(2):74-78, 1999.
	C6	Eguchi <i>et al.</i> , "Endoscopy of the spinal cord: cadaveric study and clinical experience," <i>Minim. Invasive Neurosurg.</i> , 42(3):164-151, 1999.

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See Page 2Other Art  
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## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
mdh.	C7	Fries and Reisch, "Biportal Neuroendoscopic Microsurgical Approaches to the Subarachnoid Cisterns. A Cadaver Study," <i>Minim. Invas. Neurosurg.</i> , 39:99-104, 1996.
	C8	Karakhan <i>et al.</i> , "Operative spinal endoscopy: stereotopography and surgical possibilities," <i>Acta. Neurochir. Suppl.</i> , 61:108-114, 1994.
	C9	Karakhan, "Use of intracranial endoscopy in morphologic studies," <i>Arkh. Anat. Gistol. Embriol.</i> , 98(1):75-82, 1990. Russian.
	C10	Miyamoto <i>et al.</i> , "The development of spinal endoscope using a flexible optic fiber," <i>No. To. Shinkei</i> , 41(12):1233-1238, 1989. Abstract on page 1238.
	C11	Stefanov <i>et al.</i> , "A new method for transcutaneous coaxial neuroendoscopy," <i>Anat. Embryol.</i> , 194(4):319-326, 1996.
	C12	Suzukawa <i>et al.</i> , "Percutaneous fiberoptic spinal laser endoscopy," <i>J. Clin Laser Med Surg.</i> , 8(6):27-30, 1990.
	C13	Tanaka <i>et al.</i> , "Endoscopic treatment of symptomatic spinal subarachnoid cysts," <i>AJR Am. J. Roentgenol.</i> 169(6):1719-1720, 1997.
	C14	Uchiyama <i>et al.</i> , "Ultrafine Flexible Spinal Endoscope (Myeloscope) and Discovery of an Unreported Subarachnoid Lesion," <i>Spine</i> , 23(21):2358-2362, 1998.
✓	C15	Vinas <i>et al.</i> , "Microanatomical basis for the third ventriculostomy," <i>Minim. Invasive Neurosurg.</i> 39(4):116-121, 1996.

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### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
meh.	C16	Document depicting a prototype stent, sent to applicant on July 13, 2001.
↓	C17	Document depicting a prototype stent, sent to applicant on July 13, 2001.
↓	C18	Document depicting a prototype stent, sent to applicant on July 13, 2001.

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## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
mhh.	C19	Hamada <i>et al.</i> , "Microcatheter intrathecal urokinase infusion into cisterna magna for prevention of cerebral vasospasm," <i>Stroke</i> , 31:2141-2148, 2000.

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Date Mailed: April 4, 2003

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Phillip D. Purdy	
	Filing Date: July 13, 2001	Group Art: 3763

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
<i>mhl.</i>	4,808,157	02/28/1989	Coombs		
	4,904,237	02/27/1990	Janese		
	4,911,163	03/27/1990	Fina		
	5,160,323	11/03/1992	Andrew		
	5,256,146	10/26/1993	Ensminger et al.		
	5,378,241	01/03/1995	Haindl		
	5,738,650	04/14/1998	Gregg		
	5,830,188	11/03/1998	Abouleish		
	5,846,226	12/08/1998	Urmey		
	5,928,260	07/27/1999	Chin et al.		
	6,026,316	2/15/2000	Kucharczyk et al.		
	6,061,587	05/09/2000	Kucharczyk et al.		
	6,162,170	12/19/2000	Foley et al.		
	6,251,115	06/26/2001	Williams et al.		
	6,272,370	08/07/2001	Gillies et al.		
	6,319,241	11/20/2001	King et al.		
	6,352,530	03/05/2002	Mangosong		
<i>✓</i>	6,379,331	04/30/2002	Barbut et al.		

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PATENT TRADEMARK OFFICE

EXAMINER <i>Mumukshu</i>	DATE CONSIDERED <i>8/19/05</i>
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Date Mailed: April 4, 2003

Sheet 2 of 2

FORM PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
	Applicant: Phillip D. Purdy	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Filing Date: July 13, 2001	Group Art: 3763

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
<i>mhh.</i>	WO 00/51669	09/08/2000	WIPO			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>mhh.</i>	"Back Break," Article from <i>Forbes Magazine</i> , pg 123-124, August 12, 2002.
<i>mhh.</i>	"Keeping it Cool," Article from <i>Health Communities, United Hospital</i> , 11(1):1, 8, Winter 2003.

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EXAMINER <i>Mumukshu</i>	DATE CONSIDERED <i>8/19/05</i>
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Date Mailed: June 13, 2003

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: PHILLIP D. PURDY	
		Filing Date: July 13, 2001	Group Art: 3763

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
<u>mhh.</u>	3,782,388	01/01/1974	Page		
	4,619,643	10/28/1986	Bai		
	4,838,878	06/13/1989	Kalt et al.		
	5,814,016	09/29/1998	Valley et al.		
	5,928,155	07/27/1999	Eggers et al.		
<u>✓</u>	5,908,385	06/01/1999	Chechelski et al.		

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## FOREIGN PATENT DOCUMENTS

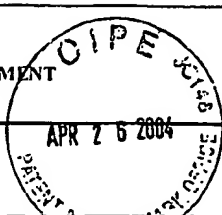
	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
mhh. ↓	EP 0 454 264	10/30/1991	EPO			
	EP 1 062 959	12/27/2000	EPO			
	WO 97/44082	11/27/1997	WIPO			
	WO 99/20334	04/29/1999	WIPO			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER <u>M. M. M. M. M.</u>	DATE CONSIDERED <u>8/19/05</u>
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FORM PTO-1449* INFORMATION DISCLOSURE STATEMENT		Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
		Applicant: PHILLIP D. PURDY	
IN AN APPLICATION (Use several sheets if necessary)		Confirmation No.: 4825	
		Filing Date: JULY 13, 2001	Group Art: 3763

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
<i>mhh.</i>	US 2002/0091356	07/11/2002	Barbut et al.		
	4,950,232	08/21/1990	Ruzicka et al.		
	5,980,480	11/09/1999	Rubenstein et al.		


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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
<i>mhh.</i>	DE 37 26 453	02/16/1989	DE			
	WO 98/38953	09/11/1998	WIPO			
	WO 98/57603	12/23/1998	WIPO			
	WO 01/54766	08/02/2001	WIPO			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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 IN AN APPLICATION (Use several sheets if necessary)	Confirmation No.: 4825	
	Filing Date: JULY 13, 2001	Group Art: 3763

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
<i>mhh.</i>	4,973,305	11/27/1990	Goltzer		
	5,445,625	08/29/1995	Voda		
	5,613,950	03/25/1997	Yoon		
	5,833,632	11/10/1998	Jacobsen et al.		
	6,080,140	06/27/2000	Swaminathan et al.		

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
<i>mhh.</i>	WO 02/068036	09/06/2002	WIPO			

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EXAMINER <i>MushAn</i>	DATE CONSIDERED <i>8/19/05</i>
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